

OCT 15 2002

SHEET 1 OF 1

|  |    |  |          |                                    |                                |                          |                               |
|--|----|--|----------|------------------------------------|--------------------------------|--------------------------|-------------------------------|
| Form PTO 1449<br>(Modified)  |    | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE |          | APPY DOCKET NO.<br>215151US0       |                                | SERIAL NO.<br>09/989,077 |                               |
| LIST OF REFERENCES CITED BY APPLICANT  |    |  |          | APPLICANT<br>Detlef SELENT, et al. |                                |                          |                               |
|  |    |  |          | FILING DATE<br>11/21/01            |                                | GROUP<br>1621            |                               |
| U.S. PATENT DOCUMENTS  |    |  |          |                                    |                                |                          |                               |
| EXAMINER<br>INITIAL  |    | DOCUMENT<br>NUMBER   | DATE     | NAME                               | CLASS                          | SUB<br>CLASS             | FILING DATE<br>IF APPROPRIATE |
| <i>BMK</i>   | AA | 6,403,837  | 06/11/02 | HESS, et al.                       |                                |                          |                               |
|  | AB |  |          |                                    |                                |                          |                               |
|  | AC |  |          |                                    |                                |                          |                               |
|  | AD |  |          |                                    |                                |                          |                               |
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|  | AN |  |          |                                    |                                |                          |                               |
| FOREIGN PATENT DOCUMENTS   |    |  |          |                                    |                                |                          |                               |
|  |    | DOCUMENT<br>NUMBER   | DATE     | COUNTRY                            | TRANSLATION<br>YES NO          |                          |                               |
|  | AO |  |          |                                    |                                |                          |                               |
|  | AP |  |          |                                    |                                |                          |                               |
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|  | AV |  |          |                                    |                                |                          |                               |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)  |    |  |          |                                    |                                |                          |                               |
|  | AW |  |          |                                    |                                |                          |                               |
|  | AX |  |          |                                    |                                |                          |                               |
|  | AY |  |          |                                    |                                |                          |                               |
|  | AZ |  |          |                                    |                                |                          |                               |
| Examiner <i>[Signature]</i>  |    |  |          |                                    | Date Considered <i>7/28/04</i> |                          |                               |
| *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |    |  |          |                                    |                                |                          |                               |

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| Form PTO 1449<br>(Modified)   |    | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE   |          | APP. DOCKET NO.<br>215151US0       |                       | SERIAL NO.<br>09/99,077 |                               |
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| LIST OF REFERENCES CITED BY APPLICANT                                   |    |  |          | APPLICANT<br>Dellef SELENT, et al. |                       |                         |                               |
|   |    |  |          | FILING DATE<br>November 21, 2001   |                       | GROUP<br>1625           |                               |
| U.S. PATENT DOCUMENTS   |    |  |          |                                    |                       |                         |                               |
| EXAMINER<br>INITIAL   |    | DOCUMENT<br>NUMBER   | DATE     | NAME                               | CLASS                 | SUB<br>CLASS            | FILING DATE<br>IF APPROPRIATE |
|   | AA |  |          |                                    |                       |                         |                               |
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|   | AH |  |          |                                    |                       |                         |                               |
|   | AI |  |          |                                    |                       |                         |                               |
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|   | AL |  |          |                                    |                       |                         |                               |
|   | AM |  |          |                                    |                       |                         |                               |
|   | AN |  |          |                                    |                       |                         |                               |
| FOREIGN PATENT DOCUMENTS  |    |  |          |                                    |                       |                         |                               |
|   |    | DOCUMENT<br>NUMBER   | DATE     | COUNTRY                            | TRANSLATION<br>YES NO |                         |                               |
| BMA   | AO | 0 247 595  | 12/02/87 | EUROPE                             |                       |                         |                               |
|   | AP | 196 02 301   | 07/25/96 | GERMANY                            |                       |                         | X                             |
|   | AQ | 0 214 622  | 03/18/87 | EUROPE                             |                       |                         |                               |
| BMA   | AR | 0 472 071  | 02/28/92 | EUROPE                             |                       |                         | X                             |
|   | AS |  |          |                                    |                       |                         |                               |
|   | AT |  |          |                                    |                       |                         |                               |
|   | AU |  |          |                                    |                       |                         |                               |
|   | AV |  |          |                                    |                       |                         |                               |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) |    |  |          |                                    |                       |                         |                               |
| BMA   | AW | E. YA. LEVINA, et al., Russian Journal of General Chemistry, Vol. 60, No. 4, pps. 663-670, "1,2-DIHYDRO-1,2-AZAPHOSPHORINES FROM THE REACTIONS OF PHOSPHORUS TRICHLORIDE AND OF ETHYLPHOSPHONOUS DICHLORIDE WITH N-(2-ETHYLHEXYLIDENE) BUTYLAMINE", September 20, 1990 |          |                                    |                       |                         |                               |
|   | AX |  |          |                                    |                       |                         |                               |
|   | AY |  |          |                                    |                       |                         |                               |
|   | AZ |  |          |                                    |                       |                         |                               |
| Examiner  |    |  |          |                                    | Date Considered       |                         |                               |
|   |    |  |          |                                    | 7/28/04               |                         |                               |

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(modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

215151US0

SERIAL NO.

09/989,077

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Detlef SELENT, et al.

FILING DATE

November 21, 2001

GROUP

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |    | DOCUMENT<br>NUMBER | DATE      | NAME             | CLASS | SUB<br>CLASS | FILING DATE<br>IF APPROPRIATE |
|---------------------|----|--------------------|-----------|------------------|-------|--------------|-------------------------------|
| BMA                 | AA | 4,668,651          | 5/26/1987 | BILLIG, et al.   |       |              |                               |
|                     | AB | 4,694,109          | 9/15/1987 | DEVON, et al.    |       |              |                               |
|                     | AC | 4,748,261          | 5/31/1988 | BILLIG, et al.   |       |              |                               |
|                     | AD | 4,879,418          | 11/7/1989 | PUCKETTE, et al. |       |              |                               |
|                     | AE | 4,885,401          | 12/5/1989 | BILLIG, et al.   |       |              |                               |
| BMA                 | AF | 5,312,996          | 5/17/1994 | PACKETT, et al.  |       |              |                               |
|                     | AG |                    |           |                  |       |              |                               |
|                     | AH |                    |           |                  |       |              |                               |
|                     | AI |                    |           |                  |       |              |                               |
|                     | AJ |                    |           |                  |       |              |                               |
|                     | AK |                    |           |                  |       |              |                               |
|                     | AL |                    |           |                  |       |              |                               |
|                     | AM |                    |           |                  |       |              |                               |
|                     | AN |                    |           |                  |       |              |                               |

## FOREIGN PATENT DOCUMENTS

|     |    | DOCUMENT<br>NUMBER | DATE       | COUNTRY | TRANSLATION |    |
|-----|----|--------------------|------------|---------|-------------|----|
|     |    |                    |            |         | YES         | NO |
| BMA | AO | WO 9530680         | 11/16/1995 | WIPO    |             |    |
| BMA | AP | O 155 508          | 9/25/1985  | EUROPE  |             |    |
|     | AQ |                    |            |         |             |    |
|     | AR |                    |            |         |             |    |
|     | AS |                    |            |         |             |    |
|     | AT |                    |            |         |             |    |
|     | AU |                    |            |         |             |    |
|     | AV |                    |            |         |             |    |

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

|  |    |  |
|--|----|--|
|  | AW |  |
|  | AX |  |
|  | AY |  |
|  | AZ |  |

☐ Additional References sheet(s) attached

Examiner

Date Considered

7/28/04

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Docket No. 215151US0

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Detlef SELENT, et al.

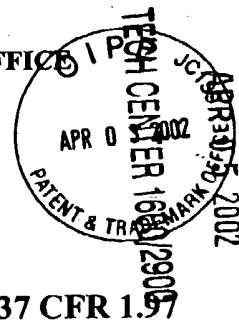
SERIAL NO: 09/989,077

GAU:

FILED: November 21, 2001

EXAMINER:

FOR: NOVEL PHOSPHININE COMPOUNDS AND METAL COMPLEXES THEREOF



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INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☒ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

  
Norman F. Oblon

Registration No. 24,618

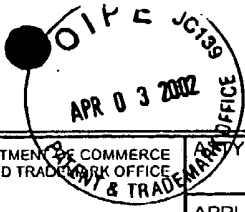
Vincent K. Shier, Ph.D.

Registration No. 50,552



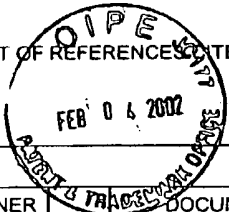
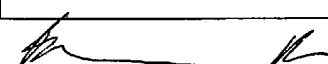
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Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 10/01)


  
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|   |    |  |          | FILING DATE<br>November 21, 2001   |                              | GROUP<br>1025 |                               |  |
| U.S. PATENT DOCUMENTS   |    |  |          |                                    |                              |               |                               |  |
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|   | AA |  |          |                                    |                              |               |                               |  |
|   | AB |  |          |                                    |                              |               |                               |  |
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|   | AL |  |          |                                    |                              |               |                               |  |
|   | AM |  |          |                                    |                              |               |                               |  |
|   | AN |  |          |                                    |                              |               |                               |  |
| FOREIGN PATENT DOCUMENTS  |    |  |          |                                    |                              |               |                               |  |
|   |    | DOCUMENT<br>NUMBER   | DATE     | COUNTRY                            | TRANSLATION<br>YES      NO   |               |                               |  |
| <i>BMA</i>  | AO | 0 247 595  | 12/02/87 | EUROPE                             |                              |               |                               |  |
|   | AP | 196 02 301   | 07/25/96 | GERMANY                            | X                            |               |                               |  |
|   | AQ | 0 214 622  | 03/18/87 | EUROPE                             |                              |               |                               |  |
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|   | AT |  |          |                                    |                              |               |                               |  |
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|   | AX |  |          |                                    |                              |               |                               |  |
|   | AY |  |          |                                    |                              |               |                               |  |
|   | AZ |  |          |                                    |                              |               |                               |  |
| Examiner <i>[Signature]</i>   |    |  |          |                                    | Date Considered 7/28/04      |               |                               |  |
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| LIST OF REFERENCES CITED BY APPLICANT<br><div style="text-align: center;">  </div>  |    |  |            | APPLICANT<br>Detlef SELENT, et al. |                         |  |                               |
|  |    |  |            | FILING DATE<br>November 21, 2001   |                         | GROUP<br>1625  |                               |
| <b>U.S. PATENT DOCUMENTS</b>   |    |  |            |                                    |                         |  |                               |
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| <b>FOREIGN PATENT DOCUMENTS</b>  |    |  |            |                                    |                         |  |                               |
|  |    | DOCUMENT<br>NUMBER   | DATE       | COUNTRY                            | TRANSLATION<br>YES NO   |  |                               |
| BMA  | AO | WO 9530680   | 11/16/1995 | WIPO                               |                         |  |                               |
| BMA  | AP | 0 155 508  | 9/25/1985  | EUROPE                             |                         |  |                               |
|  | AQ |  |            |                                    |                         |  |                               |
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|  | AV |  |            |                                    |                         |  |                               |
| <b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>   |    |  |            |                                    |                         |  |                               |
|  | AW |  |            |                                    |                         |  |                               |
|  | AX |  |            |                                    |                         |  |                               |
|  | AY |  |            |                                    |                         |  |                               |
|  | AZ |  |            |                                    |                         | <input type="checkbox"/> Additional References sheet(s) attached |                               |
| Examiner    |    |  |            |                                    | Date Considered 7/28/01 |  |                               |
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